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PTO/SB/21 (08-00)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/092,994
	Filing Date	Mar 07, 2002
	First Named Inventor	Douceur
	Group Art Unit	2177
	Examiner Name	BREENE, JOHN E
Total Number of Pages in This Submission	Attorney Docket Number	MS1-1017US

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Allan T. Sponseller, Reg. No. 38,318
Signature	
Date	10/9/03

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number 10/092,994
Filing Date Mar 07, 2002
First Named Inventor Douceur
Examiner Name BREENE, JOHN E
Art Unit 2177
Attorney Docket No. MS1-1017US

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FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)				(\$)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid
Total Claims		-20** =		X		
Independent Claims		-3** =		X		
Multiple Dependent						

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

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FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

(Complete (if applicable))

Name (Print/Type) Allan T. Sponseller

Registration No. 38,318
(Attorney/Agent)

Telephone (509) 324-9256

Signature

Date

10/9/03

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.
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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 10/092,994
Filing Date Mar 07, 2002
Confirmation No. 8394
Inventorship Douceur
Applicant Microsoft Corporation
Attorney's Docket No. MS1-1017US
Title: Improving File Availability in Distributed File Storage Systems

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INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449


REMARKS

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

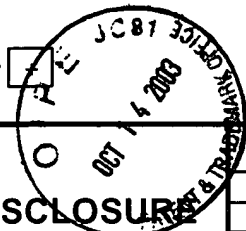
Respectfully Submitted,

Date: 10/9/03

By:


Allan T. Sponseller
Reg. No. 38,318

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Substitute for form 1449B/PTO			Complete if Known		RECEIVED
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	10/092,994	
(use as many sheets as necessary)			Filing Date	Mar 07, 2002	OCT 20 2003
			First Named Inventor	Douceur	
			Group Art Unit	2177	Technology Center 2100
			Examiner Name	BRENE, JOHN E	
			Attorney Docket Number	MS1-1017US	
Sheet	2	of	4		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		E. Adar and B. Huberman, "Free Riding on Gnutella," Xerox PARC Technical Report, pages 1-22, August 2000.	
		R. Anderson, "The Eternity Service," PRAGO-CRYPT, pages 242-252, October 1996.	
		T. Anderson, M. Dahlin, J. Neefe, D. Patterson, D. Roselli, and R. Wang, "Serverless Network File Systems," 15th Symposium on Operating Systems Principles, pages 109-126, December 1995.	
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		L. Fan, P. Cao, J. Almeida, and A. Broder, "Summary Cache: A Scalable Wide-Area Web Cache Sharing Protocol", ACM SIGCOMM, pages 254-265, 1998.	

Examiner Signature		Date Considered	
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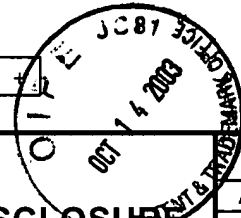
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 4

Application Number 10/092,994
Filing Date MAR 07 2002
First Named Inventor Douceur
Group Art Unit 2177
Examiner Name BREENE, JOHN E
Attorney Docket Number MS1-1017US

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NON PATENT LITERATURE DOCUMENTS

Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		A. Goldberg and P. Yianilos, "Towards an Archival Interemory," IEEE International Forum on Research and Technology Advances in Digital Libraries, pages 147-156, April 1998.	
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Examiner Signature

Date Considered

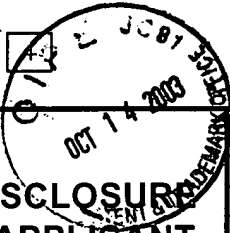
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 4 of 4

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Application Number	10/092,994
Filing Date	Mar 07 2002
First Named Inventor	Douceur
Group Art Unit	2177
Examiner Name	BREENE, JOHN E
Attorney Docket Number	MS1-1017US

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		R. T. Reich and D. Albee, "S.M.A.R.T. Phase-II," Number WP-9803-001, Maxtor Corporation, 3 pages, February 1998.	
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Signature

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